

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND TWENTY-FIRST MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, April 3, 1996

The Board of Trustees met at its regular monthly meeting on Wednesday, April 3, 1996, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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April 3, 1996 meeting, Board of Trustees

The Chairman, Ambassador Wolf, Ph.D., called the meeting of the Board of Trustees to order on April 3, 1996, at 11:20 a.m. He requested the Secretary to call the roll.

Present: Milton A. Wolf, Chairman, Leslie H. Wexner, Alex Shumate, Theodore S. Celeste, Michael F. Colley, George A. Skestos, Zuheir Sofia, Thomas C. Smith, and Holly A. Smith. James F. Patterson arrived and was present at 11:40 a.m.

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PRESIDENT'S REPORT

President Gee:

Ladies and gentlemen, welcome. We are delighted to have you here on a beautiful day -- the first day to break 70 degrees in some time.

Since our last meeting, we have said good-bye to some 1,400 new graduates, and we have launched Spring Quarter. We begin this final quarter of the academic year with considerable momentum and many points of pride.

Yesterday, we broke ground for the Schottenstein Center. The premiere collegiate arena in the nation and which will, indeed, be a great point of pride for the University and all of its many friends.

To prepare for the spring quarter, the campus area did a little spring cleaning. During the break, the streets in the core student neighborhoods east of High Street were swept. It was the first time in at least six years that this was done. A massive communications plan warned the residents to move their cars off the streets. I think everyone was pleased with the results -- with the possible exception, of course, of those whose cars were removed! We will have the "High on Pride" clean-up day later this month. I would note with some trepidation that we had a fire off our campus last evening, and as many of you know Papa Joe's, a famous Ohio State establishment, did burn down. I was home with my wife last evening, I want everyone to know!

During the break, I took another of my State Tour trips, this time to Vinton, Fayette, and Perry counties. In addition to going to high schools, Rotary, alumni, and Chamber meetings, I visited the Orient Correctional Institution. No, not for an alumni meeting . . . although the two wardens and many of the staff are our graduates. I would just say as an aside, the staff laughed at me for the fact that I was walking around shaking everyone's hand. Professor Ron Huff accompanied me on the visit, as he is working with corrections personnel on eliminating gang activity within the system.

After the visit, I received a warm letter from the Director of the Ohio Department of Rehabilitation and Correction, Reginald Wilkinson, a wonderful person and another of our distinguished graduates. He pointed out that I am familiar with large state agencies that commit offenders, house felons, and parole people. . . only WE like to call it graduation. I never thought about it, but he and I do have some relationship in terms of the same kind of job! I told Reggie it could be worse: he could have a football team.

Members of the Ohio State family won a number of honors and awards this month. Professor of English Andrea Lunsford was named one of the Columbus YWCA Women of Achievement. She was honored for her mentoring and leadership not only within her department, but across the campus and in the community.

April 3, 1996 meeting, Board of Trustees

PRESIDENT'S REPORT (contd)

President Gee: (contd)

Dr. John McDonald of the Department of Anesthesiology and Don Stredney of the Ohio Supercomputer Center were recognized by the Smithsonian Institution with the Cray Research Information Technology Leadership Award for breakthrough computational science. They have collaborated on a virtual reality project of epidural simulation.

The award honors vision, foresight, courage, and the determination to solve a significant but previously insoluble problem. This recognizes the partnership between the Ohio Supercomputer Center and our faculty. It is a wonderful project.

Interdisciplinary collaboration brought attention to the University this month with the announcement of a patent for the first blood test for cancer in animals. Professor of Veterinary Pathobiology Paul Stromberg and his colleagues in the Department of Medical Biochemistry, Thomas Webb and Dorothy Schumm, have come up with a test which, we hope, could lead to earlier diagnosis of cancer in humans. This breakthrough emphasizes the value of having medicine, veterinary medicine, and the basic sciences on the same campus collaborating.

The National Science Foundation has selected Ohio State as the site for a new \$5 million research center to study industrial sensors. This truly is a partnership, again, of the state, industry, and the University. The center will, among other things, study sensors to detect hazardous materials.

Our business students won the Big Ten MBA Case Competition for the second time in the past four years. Teams of students analyze case studies during the 24-hours of the contest, and they are judged on creativity, analysis, and communication.

The University garnered other honors this month including the Big Ten Championship in men's gymnastics and the National Collegiate Synchronized Swimming Championships. In both of these sports, we have Olympic-caliber athletes and coaches.

As part of our 125th anniversary celebration, WOSU produced a video, *Echoes Across the Oval*, which effectively tells the story of the University. The program has aired on WOSU-TV and is available in video. My congratulations to Dale Ouzts, Brent Greene, and Ed Clay for a first-rate production.

Finally, I want to introduce our new Director of State Relations, Colleen O'Brien. Colleen is here with us today -- at one of her first forays -- and is standing. She is already hard at work on behalf of the University. I can assure you her quick start on the job derives from her long and good service to the State of Ohio where she has served in many capacities. The final being as president of the Controlling Board which, of course, controls money coming to the University. Colleen, keep it coming, would you please! Of course, she is an Ohio State graduate. I would note -- Kevin Sheriff -- Colleen was a former student body president. So people do make good after this life, Kevin! Colleen, welcome and thank you so much for being with us.

Mr. Chairman, that is my report.

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STUDENT RECOGNITION AWARD

Ms. Smith:

I am very honored to recognize Deborah Lange as this month's recipient of the Student Recognition Award. Deborah is an example of one of Ohio State's excellent non-traditional students. She is a senior pharmacy student at Ohio State, expecting to graduate this Spring Quarter.

A proud mother of two children, ages 11 and 13, Deborah leads an extremely busy life. She has excelled at Ohio State and truly exemplifies outstanding service to the University and to the community.

As a pharmacy major, Deborah has been very involved in addiction recovery programs. Currently she serves as chair of the College of Pharmacy's Drug Abuse Education Committee, which is a student organization focused on educating primary students about drug abuse. This quarter the Committee plans to attend Ridgeview Middle School to help educate students about drug and alcohol abuse.

Also, Deborah was recruited by Ohio's Pharmacists Recovery Organization to lead a state-wide effort to implement student recovery programs in the four Ohio colleges of pharmacy. In addition, she attended the University of Utah School of Alcoholism and Other Drug Dependencies during the summer of 1995. The Utah school provides education and training relating to addictive drugs and the establishment of effective recovery programs.

Deborah believes that one of her strongest assets is her ability to adapt to new situations. During her lifetime she has moved a total of thirty-five times. At Ohio State Deborah has demonstrated a deep sense of commitment to addictive studies, education, and recovery program development as a pharmacy student. She has been a strong student leader in this regard, as well as other avenues within the College of Pharmacy and the community. Furthermore, she brings a diversity of life experiences to her professional education as a non-traditional student and a single mother.

Deborah, the Board of Trustees would like to commend you for your service to this University and the community by presenting you with this month's Student Recognition Award. Congratulations.

I would also like to introduce the people who are here to support her: Tom Lange; her two sons, Jason and Brandon; and Kenneth Hale, Assistant Dean of the College of Pharmacy.

Ms. Deborah Lange:

I would like to say thank you to the most important people in my life who helped me get to where I am today and they are my children. Jason and Brandon, would you please stand up.

This has been a fabulous journey and I would like to thank the Board for recognizing students. It is always a pleasure to be able to give back when one has been receiving so much. Thank you.

(See Appendix XLV for Student Recognition Award, page 847.)

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HOSPITALS BOARD REPORT

Mr. Skestos:

The Administrative/Operations Committee and Executive Committee of the University Hospitals Board met March 28, 1996. The Committee for Administrative/Operations was given an overview of the strategy for identifying and acquiring office locations for primary care physician practices. The Committee also instructed the Hospitals to enter into confidential discussions on acquiring two physician practices. Approval was given for the Hospitals to become a founding member of Labnet of Ohio, Inc., which is a newly created not-for-profit organization consisting of seventeen based laboratories. Labnet will market laboratory services on a regional and state-wide basis to insurers, managed care organizations, and others, and will refer sophisticated tests to OSU Hospitals for analysis and interpretation.

The Executive Committee approved the revised Interest and Ethics policies for the Hospitals and the Hospitals Board. The Executive Committee discussed the status of the OSU/US Health collaboration discussions, with specific emphasis on recent newspaper articles and the principle purpose for the talks. The principle issue is whether OSU can work with others to lower costs in an orderly way and in a manner consistent with continuing to provide quality medical care and quality medical education opportunities.

This same issue was the purpose for our current contractual partnership with Harding Hospital. It was reported that the OSU Hospitals/Harding Hospital Joint Governing Board met for the first time on March 28, 1996 to review current progress and the future direction of the relationship.

The financial report for the month of February 1996 showed University Hospitals' operational activities at a break-even level for the year.

That completes my report.

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CANCER HOSPITAL REPORT

Mr. Shumate:

The James Cancer Hospital Board is recommending amendments to their medical staff bylaws and to changes specifically to deal with board certification and the addition of a new category of attending staff. The amendments are noted in our books, along with an impact statement. The impact statement indicates that the amendments would allow community physicians with an interest in oncology, but without a University faculty appointment, access to the resources of the James Hospital.

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE

Resolution No. 96-107

Synopsis: The amendments to the Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Research Institute are recommended for approval.

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

WHEREAS The Arthur G. James Cancer Hospital and Research Institute Board pursuant to Bylaw 3335-109-02 of the James Cancer Hospital Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the Bylaws of the Medical Staff Bylaws of The Arthur G. James Cancer Hospital and Research Institute; and

WHEREAS the proposed amendments to Medical Staff Bylaws 3335-111-04 and 3335-111-07 were previously approved by The Arthur G. James Cancer Hospital and Research Institute Board on January 24, 1996, as follows:

Amended Bylaws

3335-111-04 Membership.

(A) Qualifications.

(1) and (2) unchanged.

(3) BOARD CERTIFICATION.

AN APPLICANT FOR MEMBERSHIP SHALL AT THE TIME OF APPOINTMENT OR REAPPOINTMENT, BE AND REMAIN BOARD CERTIFIED IN A MEDICAL SPECIALTY APPROVED BY THE AMERICAN MEDICAL ASSOCIATION AND AMERICAN BOARD OF MEDICAL SPECIALTIES, OR OTHER APPLICABLE CERTIFYING BOARDS FOR DOCTORS OF OSTEOPATHY, PODIATRY, PSYCHOLOGY, AND DENTISTRY. AN APPLICANT WHO IS AN ACTIVE CANDIDATE FOR BOARD CERTIFICATION AT THE TIME OF INITIAL APPOINTMENT OR REAPPOINTMENT SHALL HAVE THREE YEARS FROM THE DATE ELIGIBILITY WAS FIRST ATTAINED TO BECOME BOARD CERTIFIED. BOARD CERTIFICATION IS A CONTINUING REQUIREMENT. WHENEVER RECERTIFICATION IS REQUIRED BY A SUBSPECIALTY BOARD APPROVED HEREIN, APPLICANTS FOR APPOINTMENT OR REAPPOINTMENT SHALL MEET THE TERMS OF RECERTIFICATION ESTABLISHED BY THEIR RESPECTIVE SUBSPECIALTY BOARD OR BOARDS. FAILURE TO MEET OR MAINTAIN BOARD CERTIFICATION WILL RESULT IN IMMEDIATE TERMINATION FROM THE MEDICAL STAFF. THIS REQUIREMENT MAY BE WAIVED BY ACTION OF THE MEDICAL STAFF ADMINISTRATIVE COMMITTEE, UPON THE RECOMMENDATION OF THE CREDENTIALS COMMITTEE OR THE MEDICAL DIRECTOR OF THE CHRI.

(3) (4) Unchanged.

(B) through (F) Unchanged.

3335-111-07 Divisions of the medical staff.

The medical staff of the CHRI shall be divided into honorary, attending, associate attending, clinical, and limited designations.

(A) through (D) Unchanged.

(E) COMMUNITY ONCOLOGIST ATTENDING STAFF.

(1) QUALIFICATIONS.

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws (contd)

THE COMMUNITY ONCOLOGIST ATTENDING (COA) STAFF SHALL CONSIST OF MEDICAL STAFF MEMBERS WHO DO NOT HAVE FACULTY APPOINTMENTS IN ANY OF THE ACADEMIC UNITS OF THE OHIO STATE UNIVERSITY, ARE LICENSED IN THE STATE OF OHIO WITH A PROVEN CAREER COMMITMENT TO ONCOLOGY AS DEMONSTRATED BY:

TRAINING.
CURRENT AMERICAN BOARD OF MEDICAL SPECIALTIES CERTIFICATION, IF APPLICABLE.
PRACTICE PROFILE.
MEMBERSHIP - PROFESSIONAL ONCOLOGY SOCIETIES.
PUBLICATIONS.
GRANT FUNDING.
OTHER FUNDING AND EXPERIENCE (AS APPROVED BY THE CHRI DIRECTOR AND THE CHIEF OF THE APPROPRIATE CHRI SECTION).

INDIVIDUAL COA STAFF UTILIZATION EXPECTATIONS SHALL BE DETERMINED BY THE CHRI DIRECTOR WITH THE ADVICE OF THE CHRI DIRECTOR OF MEDICAL AFFAIRS AND SHALL BE INTENDED TO PROVIDE OPTIMAL ACCESS FOR PATIENTS TO THE CHRI THAT DOES NOT INTERFERE WITH THE SERVICE, RESEARCH, OR EDUCATIONAL ACTIVITIES AND PRIORITIES OF THE UNIVERSITY FACULTY WHO CONSTITUTE THE CHRI ATTENDING, ASSOCIATE ATTENDING, OR CLINICAL ATTENDING STAFF. THE CHRI DIRECTOR AND THE STAFF MEMBER APPLYING FOR COA PRIVILEGES WILL MUTUALLY IDENTIFY THE APPLICANT'S CHRI CLINICAL AND/OR NON-CLINICAL INVOLVEMENT, INCLUDING THE CATEGORIES OF DIAGNOSIS, EXTENT OF ANTICIPATED PATIENT ACTIVITY, AND THE SERVICE AREAS TO BE UTILIZED EACH YEAR. A STATEMENT OF THIS PARTICIPATION WILL BE MADE A PART OF THE APPLICATION FOR APPOINTMENT.

ALL APPLICATIONS FOR APPOINTMENT AND REAPPOINTMENT AS A MEMBER OF THE COA STAFF SHALL BE MADE TO THE CHRI DIRECTOR FOR INITIAL EVALUATION. THE CHRI DIRECTOR MAY, WHEN APPROPRIATE, REFER EACH APPLICATION FOR COMPLETION OF THE APPOINTMENT PROCEDURE IN ACCORDANCE WITH PARAGRAPH (E) OR (F) OF RULE 3335-111-04 OF THE ADMINISTRATIVE CODE. HOWEVER, THE APPROVAL OF A CHAIRPERSON AND CHIEF OF SECTION SHALL NOT BE REQUIRED FOR THE APPOINTMENT OR REAPPOINTMENT RELATIVE TO ANY APPLICATION FOR COA STAFF PRIVILEGES. THE STAFF MEMBER'S ABILITY TO COOPERATE AND SUPPORT THE GOALS IDENTIFIED BY THE CHRI DIRECTOR WILL BE CONSIDERED IN THE DECISION FOR APPOINTMENT AND REAPPOINTMENT. ADEQUATE LEVELS OF CLINICAL ACTIVITY MAY BE REQUIRED TO PERMIT PROPER EVALUATION UNDER CHRI QUALITY ASSURANCE AND UTILIZATION GUIDELINES.

(2) PREROGATIVES.

COMMUNITY ONCOLOGIST ATTENDING STAFF MEMBERS MAY:

(a) ADMIT PATIENTS CONSISTENT WITH THE BALANCED TEACHING AND PATIENT CARE RESPONSIBILITIES OF THE INSTITUTION, WHEN, IN

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws (contd)

THE JUDGMENT OF THE DIRECTOR OF MEDICAL AFFAIRS, A BALANCED TEACHING PROGRAM IS JEOPARDIZED, FOLLOWING CONSULTATION WITH THE DIRECTOR AND CHAIRPERSON OF THE APPROPRIATE ACADEMIC DEPARTMENT, AND WITH THE CONCURRENCE OF A MAJORITY OF THE MEDICAL STAFF ADMINISTRATIVE COMMITTEE, THE DIRECTOR OF MEDICAL AFFAIRS MAY RESTRICT ADMISSIONS. IMPOSITION OF SUCH RESTRICTIONS SHALL NOT ENTITLE THE COA STAFF MEMBER TO THE PROCEDURES OF PARAGRAPH (G) OF RULE 3335-111-04 AND RULE 3335-111-05 OF THE ADMINISTRATIVE CODE.

- (b) EXERCISE SUCH CLINICAL PRIVILEGES AS ARE GRANTED.
- (c) UTILIZE ALL AMBULATORY AND INPATIENT FACILITIES OF THE CHRI CONSISTENT WITH THEIR GRANTED PRIVILEGES. COA STAFF WILL BE SUBJECT TO THE SAME CREDENTIALS REVIEW AS ALL OTHER CHRI STAFF.
- (d) ATTEND MEETINGS OF THE MEDICAL STAFF AS NON-VOTING MEMBER AND ATTEND ANY MEDICAL STAFF OR HOSPITAL EDUCATION PROGRAM. THE COA STAFF MEMBER MAY NOT HOLD ELECTED OFFICE IN THE MEDICAL STAFF ORGANIZATION OR SERVE ON ANY OF ITS STANDING COMMITTEES. HOWEVER, SUCH MEMBER MAY SERVE AS NON-VOTING EX-OFFICIO MEMBER OF MEDICAL STAFF COMMITTEES IF APPOINTED PURSUANT TO THESE RULES.

(3) RESPONSIBILITIES.

A COMMUNITY ONCOLOGY ATTENDING STAFF MEMBER SHALL:

- (a) MEET THE BASIC RESPONSIBILITIES SET FORTH IN RULES 3335-111-02 AND 3335-111-03 OF THE ADMINISTRATIVE CODE.
- (b) RETAIN RESPONSIBILITY WITHIN HIS/HER AREA OF PROFESSIONAL COMPETENCE FOR THE CONTINUOUS CARE AND SUPERVISION OF EACH PATIENT IN THE CHRI FOR WHOM HE/SHE IS PROVIDING CARE, OR ARRANGE A SUITABLE ALTERNATIVE FOR SUCH CARE AND SUPERVISION.
- (c) ACTIVELY PARTICIPATE IN SUCH QUALITY EVALUATION AND MONITORING ACTIVITIES AS REQUIRED BY THE MEDICAL STAFF, AND DISCHARGE SUCH STAFF FUNCTIONS AS MAY BE REQUIRED FROM TIME TO TIME INCLUDING, BUT NOT LIMITED TO, ADHERENCE TO ALL APPLICABLE QUALITY ASSURANCE AND MONITORING ACTIVITIES IN THE DIVISION RELATED TO THE COA'S PRACTICE INCLUDING ATTENDANCE AT PEER REVIEW, MORTALITY AND MORBIDITY CONFERENCES.
- (d) SATISFY THE REQUIREMENTS SET FORTH IN RULE 3335-111-11 OF THE ADMINISTRATIVE CODE FOR ATTENDANCE AT CHRI MEETINGS.

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws (contd)

- (e) ACTIVELY ENCOURAGE ALL THEIR PATIENTS TREATED AT THE CHRI TO COOPERATE IN, AND WHENEVER APPLICABLE, PARTICIPATE IN AN APPROVED PROTOCOL AND KNOWINGLY PARTICIPATE IN THE TEACHING PROGRAM. FAILURE TO DO SO MAY CONSTITUTE GROUNDS FOR LOSING COA STAFF PRIVILEGES.
- (f) BECOME A MEMBER AND ACTIVE PARTICIPANT OF AT LEAST ONE CCC DISEASE SPECIFIC COMMITTEE.
- (g) BE SUBJECT TO REIMBURSING THE OSUMC FOR REGULAR UTILIZATION OF THE OSUMC GRADUATE MEDICAL STAFF AS DETERMINED BY THE DIRECTOR OR THE DIRECTOR OF MEDICAL AFFAIRS WITH APPROPRIATE ADVICE AND COUNSEL FROM THE ACADEMIC CHAIRPERSON.
- (h) BE SUBJECT TO PAYMENT OF MEDICAL STAFF DUES OR ASSESSMENTS AS APPROVED BY THE CHRI MEDICAL STAFF.

(E) (F) Unchanged.

(F) (G) Unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Research Institute be adopted as recommended by The Arthur G. James Cancer Hospital and Research Institute Board.

Upon motion of Mr. Shumate, seconded by Mr. Skeslos, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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FISCAL AFFAIRS COMMITTEE REPORT

Mr. Shumate:

Our Committee met earlier today in a joint session with the Educational Affairs Committee, and we received a detailed report from Vice Presidents Shkurti and Tom, and Dr. Ray on the 1997 budget, including spending benchmarks and the preliminary recommendations for expenditures and compensation.

(See Appendix XLVI for background information on Expenditure Benchmarks and Preliminary Recommendations, page 849.)

We also are recommending to the full Board the following resolutions:

April 3, 1996 meeting, Board of Trustees

**AUTHORIZATION OF THE DEFEASANCE OF THE OHIO STATE UNIVERSITY
DORMITORY REVENUE BONDS, SERIES A, C, E AND I**

Resolution No. 96-108

Synopsis: Authorization to deposit of securities representing direct obligations of the United States of America with an escrow trustee for the purpose of defeasing the Dormitory Revenue Bonds, Series A, C, E and I of The Ohio State University is proposed.

WHEREAS pursuant to a resolution of this Board of Trustees (the "Board") of The Ohio State University (the "University") adopted on April 8, 1957 (the "1957 Resolution") and a Trust Agreement dated as of April 15, 1957 (the "Original Trust Agreement"), this Board authorized, sold and delivered the University's Dormitory Revenue Bonds, Series A in the original principal amount of \$6,000,000, of which \$560,000 in principal amount is now outstanding (the "Series A Bonds"); and

WHEREAS pursuant to a resolution of this Board adopted on July 8, 1960 (the "1960 Resolution") and a Second Supplemental Trust Agreement dated as of October 15, 1959 (the "Second Supplement"), this Board authorized, sold and delivered the University's Dormitory Revenue Bonds, Series C, in the original principal amount of \$2,330,000, of which \$310,000 in principal amount is now outstanding (the "Series C Bonds"); and

WHEREAS pursuant to a resolution of this Board adopted on June 15, 1962 (the "1962 Resolution") and a Third Supplemental Trust Agreement dated as of April 15, 1962 (the "Third Supplement"), this Board authorized, sold and delivered the University's Dormitory Revenue Bonds, Series E, in the original principal amount of \$5,300,000, of which \$1,031,000 in principal amount is now outstanding (the "Series E Bonds"); and

WHEREAS pursuant to a resolution of this Board adopted on July 13, 1967 (the "1967 Resolution", and together with the 1957 Resolution, the 1960 Resolution and the 1962 Resolution, the "Resolutions") and a Fourth Supplemental Trust Agreement dated as of August 1, 1967 ("Fourth Supplement" and together with the Original Trust Agreement, the Second Supplement and the Third Supplement, the "Trust Agreement"), this Board authorized, sold and delivered the University's Dormitory Revenue Bonds, Series I, in the original principal amount of \$10,500,000, of which \$4,050,000 is now outstanding (the "Series I Bonds" and together with the Series A Bonds, the Series C Bonds, and the Series I Bonds, the "Bonds"); and

WHEREAS this Board has determined that it is in the best interests of the University to deposit with an escrow trustee direct obligations of the United States of America, which obligations will provide funds sufficient in time and amount to pay the remaining debt service on the Bonds so that the lien of the Trust Agreement may be deemed discharged and defeased, all within the meaning of the Resolutions and the Trust Agreement.

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. This Board hereby selects National City Bank, Columbus to act as escrow trustee (the "Escrow Trustee") in connection with the defeasance of the Bonds. The form of Escrow Agreement attached hereto (the "Escrow Agreement") is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are approved on behalf of the University by the Chairman of the Board of Trustees (the "Chairman") or the Treasurer of the University. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall

April 3, 1996 meeting, Board of Trustees

**AUTHORIZATION OF THE DEFEASANCE OF THE OHIO STATE UNIVERSITY
DORMITORY REVENUE BONDS, SERIES A, C, E AND I (contd)**

be conclusively evidenced by the execution of the Escrow Agreement on behalf of the University by the Chairman or the Treasurer. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver the Escrow Agreement, in the name of and on behalf of the University. Such officers are each further authorized to execute and deliver on behalf of the University such other certificates, documents, receipts and instruments as are necessary in connection with the acts authorized by this Resolution and contemplated by the Escrow Agreement.

Section 2. It is found and determined that all formal actions of the Board Concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 3. This Resolution shall take effect and be in force immediately upon its adoption.

(See Appendix XLVII for background information, page 863.)

**FORMATION OF READING RECOVERY, INC.
AND READING RECOVERY COUNCIL OF NORTH AMERICA, INC.
(Ohio Nonprofit Corporations)**

Resolution No. 96-109

Synopsis: Authorization to form two Ohio nonprofit corporations, Reading Recovery, Inc., and Reading Recovery Council of North America, Inc., is proposed.

WHEREAS in 1984 the College of Education of The Ohio State University initiated the Reading Recovery program in North America; and

WHEREAS Reading Recovery is an early intervention program designed to help young, at risk, children develop literacy and the program has components involving research and development, teacher training, program evaluation, and service and outreach programs; and

WHEREAS this innovative program has been of tremendous success and is a continually expanding initiative that has brought international recognition to the University in the area of reading instruction; and

WHEREAS there is the need to provide a new structure and framework for the administration of Reading Recovery programs and activities to facilitate the continued growth and development of the programs and sustain and promote the nationwide network of professional educators involved in these programs, while continuing the leadership role the University in the research and development of Reading Recovery programs; and

WHEREAS this Board has determined that there is a need to create a nonprofit corporation, Reading Recovery, Inc., to assist the University in the ongoing research and development of Reading Recovery programs, to prepare teachers to conduct such programs, to evaluate and measure the success of such programs, and to provide and promote service and outreach activities related to these programs, and that there is a need to create, through Reading Recovery, Inc., a subsidiary nonprofit corporation, Reading Recovery Council of North America,

April 3, 1996 meeting, Board of Trustees

FORMATION OF READING RECOVERY, INC.
AND READING RECOVERY COUNCIL OF NORTH AMERICA, INC.
(Ohio Nonprofit Corporations) (contd)

Inc., to directly promote Reading Recovery programs, to foster, encourage, and promote outreach, service, and coordination of nationwide Reading Recovery activities, and to provide for a national organization that is affiliated with the University but with a separate identity and focus; and

WHEREAS these corporations are to be organized and operated exclusively for educational, charitable, or scientific purposes by conducting the above and other related activities to benefit or carry out the purposes of the University:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes The Ohio State University and its affiliate, Ohio State University Affiliates, Inc., to establish two nonprofit corporations under Chapter 1702 of the Ohio Revised Code, such that each will qualify as an exempt organization under the U.S. Internal Revenue Code, to carry out the purposes related to the Reading Recovery program, as provided in this resolution; and

BE IT FURTHER RESOLVED, That the University may enter into agreements, as it deems necessary and appropriate, to license and govern the use, by these corporations, of the registered marks of the University, including the Reading Recovery mark, to carry out the purposes of the corporations; and

BE IT FURTHER RESOLVED, That Reading Recovery, Inc., and Reading Recovery Council of North America, Inc., shall reimburse the University for any direct or indirect costs incurred by the University on behalf of, or at the request of, these corporations; and

BE IT FURTHER RESOLVED, That as appropriate and as directed by this Board or the President or the Dean of the College of Education, any one or more of the Trustees, officers, and employees of the University are hereby authorized, designated, and directed to serve as an incorporator of either corporation and/or as directors, officers, employees, and agents of either corporation, representing the University in such capacities as part of their official duties and responsibilities to the University and entitling them to any immunity, insurance, indemnity, and representation to which Trustees, officers, and employees of the University now are, or hereafter may be, entitled; and

BE IT FURTHER RESOLVED, That the Dean of the College of Education shall annually report to this Board on the activities and accomplishments of these corporations.

Upon motion of Mr. Shumate, seconded by Mr. Skestos, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Wexner, Shumate, Celeste, Colley, Skestos, Sofia, and Ambassador Wolf.

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EDUCATIONAL AFFAIRS COMMITTEE REPORT

Mr. Celeste:

As a part of the Educational Affairs Committee agenda, which we shared with the Fiscal Affairs Committee, we heard several reports including: an update on restructuring from Provost Sisson and Vice President Bobby Moser; a quick review of the Statement on Teaching; and a recommendation for the establishment of the Technology Transfer Oversight Committee and related

April 3, 1996 meeting, Board of Trustees

EDUCATIONAL AFFAIRS COMMITTEE REPORT (contd)

Mr. Celeste: (contd)

issues. Those items will be brought up to the Board at our next meeting for approval.

We do have several resolutions and I would like to call on Provost Sisson to present them for the Board's consideration.

Provost Sisson:

Thank you, Mr. Celeste. Mr. Chairman, I would like to present the following resolutions for Board approval:

ABOLISHMENT OF NOTICES OF APPOINTMENT

Resolution No. 96-110

WHEREAS the University has traditionally issued an annual Notice of Appointment form in connection with personnel transactions for faculty and administrative and professional staff; and

WHEREAS the Notice of Appointment form is a budgetary convenience containing some information relating to the employment relationship, but is not intended to establish the terms and conditions of employment; and

WHEREAS the terms and conditions of employment for faculty and administrative and professional employees are set forth in the faculty rules and personnel policies of the University; and

WHEREAS the issuance of Notices of Appointment results in needless bureaucratic paperwork and superfluous documentation:

NOW THEREFORE

BE IT RESOLVED, That University rules 3335-1-04, 3335-5-02, 3335-5-03, and 3335-5-022 are hereby amended to delete the requirement for the issuance of a Notice of Appointment and to accurately state the conditions of employment for faculty and staff.

Amended Bylaw

3335-1-04 The faculty and staff.

(A) through (G) Unchanged.

(H) Election APPOINTMENT of faculty and staff. The board of trustees shall annually elect APPOINT the president, members of the faculty and all other employees of the university not in the classified civil service. ~~It is, however, the desire and intention of the board that these persons shall be permanent in their positions, subject to continued efficiency in service; the provisions of the Revised Code; and the rules relating to tenure promulgated by the board of trustees.~~

Balance unchanged.

ABOLISHMENT OF NOTICES OF APPOINTMENT (contd)

Amended Rules

3335-5-02 Appointments and continuing employment; conditions upon.

- (A) Upon nomination and recommendation by the president of the university, the board of trustees shall make all appointments to all positions within the university and approve the salaries therefor. Such appointments, for a period not to exceed four academic quarters, or a summer session and two semesters if applicable, shall be evidenced by a notice of appointment signed by the secretary of the board and sent to the appointee for acceptance. The notice of appointment form is a budgetary convenience containing some detail relating to the employment relationship during the term of the appointment, such as salary, title, and the quarters, semesters, or sessions, during which service is to be rendered. The form is used for appointment to many and varied kinds of service, including appointment to positions which may be tenure accruing and others which are not. Neither the issuance of the notice nor its contents is intended to reflect any commitment by the trustees as to the recipient's tenure or as to renewal of appointment.

Balance unchanged.

3335-5-03 Appointment of faculty and staff; tenure.

The board of trustees shall annually appoint the president and all employees of the university not in the classified civil service, subject to the laws of the state of Ohio, and in the case of regular faculty, to the rights and protection of tenure as provided for in these rules. Tenure is a commitment by the university and may be earned by all individuals with regular faculty status subject to successful completion of a probationary period. Regular faculty status is defined in rule 3335-5-19 of the Administrative Code. The protections of tenure and academic freedom extend to all levels of faculty responsibility within the university in accordance with rule 3335-5-01 of the Administrative Code and are not restricted to activities identified with specific instructional, research or public service programs. Tenure is only lost by formal resignation, by voluntary reduction of appointment below fifty per cent of service to the university (as specified in the notice(s) of appointment) except in the case of an approved leave of absence, by retirement, by transfer to regular clinical faculty or auxiliary status, or may be terminated by reason of proved incompetence or grave misconduct in accordance with rule 3335-5-04 of the Administrative Code, for causes set forth in rule 3335-5-02 of the Administrative Code, or under the conditions of bona fide financial exigency, as specified in rule 3335-5-021 of the Administrative Code. Tenure members of the regular faculty who serve the university as administrators do not lose tenure by virtue of being administrators.

3335-5-022 Treatment of tenured faculty members during financial exigency.

(A) Unchanged.

(B) (1) through (5) Unchanged.

- (6) Notice of termination. A termination of appointment because of the elimination of a tenure initiating unit shall become effective no sooner than one full appointment period following the expiration of the faculty member's current appointment JUNE 30 OF THE FIRST FULL ACADEMIC YEAR FOLLOWING THE ACADEMIC YEAR IN WHICH THE APPOINTMENT IS TERMINATED.

THE OHIO STATE UNIVERSITY - MANSFIELD
BOARD APPOINTMENT

Resolution No. 96-111

Synopsis: Approval of a nominee to The Ohio State University-Mansfield Board is proposed.

WHEREAS the Board of Trustees on June 3, 1994, approved the establishment of The Ohio State University-Mansfield Board; and

WHEREAS it has been previously stipulated that "The Mansfield board shall be composed of eleven members appointed by The Ohio State university Board of Trustees in consultation with the president of the university" (one member of the Mansfield board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to The Ohio State University-Mansfield Board to fill the unexpired student term of Larry Douglas Stephens, who graduated on March 15, 1996:

Megan E. Grimm, term ending June 30, 1997

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a student member of The Ohio State University-Mansfield Board, effective March 15, 1996.

NAMING OF DEPARTMENT

Resolution No. 96-112

WHEREAS on April 7, 1995, the Board of Trustees approved the administrative reorganization of the College of Human Ecology; and

WHEREAS as a result of the administrative restructuring within the College of Human Ecology, the Departments of Family Resource Management, and Textiles and Clothing were consolidated to form a new department to be named the Department of Consumer and Textile Science; and

WHEREAS the proposed name reflects the teaching, research, and service interests of the new department, and it is consistent with trends in the profession; and

WHEREAS the faculty of the new department has voted unanimously in support of the proposed name change; and

WHEREAS related units in the University have raised no objections to the proposed name; and

WHEREAS the proposed change has the approval of the Council on Academic Affairs, and was approved by the University Senate at its March 2, 1996 meeting:

NOW THEREFORE

BE IT RESOLVED, That the above mentioned departmental name change created by the administrative reorganization within the College of Human Ecology is hereby approved, effective immediately.

April 3, 1996 meeting, Board of Trustees

RENAMING OF DEPARTMENT

Resolution No. 96-113

WHEREAS the name, Department of Agricultural Engineering, no longer reflects the scope and mission of the Department; and

WHEREAS the proposed name, the Department of Food, Agricultural and Biological Engineering, reflects the teaching, research, and service interests of the new Department, and it is consistent with trends in the profession; and

WHEREAS the faculty of the Department has voted unanimously in support of the proposed name, and the faculty of the College of Food, Agricultural, and Environmental Sciences voted 150 for and 54 against; and

WHEREAS related units in the University have raised no objections to the proposed name; and

WHEREAS the proposed name change has the approval of the Council on Academic Affairs, and was approved by the University Senate at its March 2, 1996 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Department of Agricultural Engineering be renamed the Department of Food, Agricultural and Biological Engineering, effective immediately.

NAMING OF INTERNAL SPACES IN CAMPUS FACILITIES

Resolution No. 96-114

Synopsis: The naming of internal space within University facilities is proposed.

WHEREAS gifts and gift commitments have been received by donors to support the construction, equipping or furnishing of the classrooms, offices, laboratories and other noted spaces; and

WHEREAS upon the recommendation of the President and the President's Executive Committee, it has been proposed that the donors to these internal spaces be recognized for their generosity; and

WHEREAS the following list includes four (4) areas within Alumni House; thirteen (13) areas within the Max M. Fisher College of Business; seven (7) areas within the Food Science and Technology Building; three (3) areas within the Galbreath Equine Trauma Hospital; and, three (3) areas within the Schottenstein Center:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned internal spaces in campus facilities shall be named as indicated below:

April 3, 1996 meeting, Board of Trustees

NAMING OF INTERNAL SPACES IN CAMPUS FACILITIES (contd)

Project: Alumni House

- Columbia Gas Presidential Office Suite
- Walter and Gail Klevay Parlor
- Greenfield Printing and Publishing Company and the Wilson and David Moon Families Office of the Vice President for Communications
- Thomas E. Wheeler Communications Room

Project: Fisher College of Business

- John W. Berry Auditorium
- Huntington National Bank Plaza
- John W. Berry Atrium
- Continental Office Courtyard Area
- Walt Klevay Dean's Conference Room
- Bank One Columbus, NA, Graduate Student Lounge
- Ernst & Young Large Classroom
- Ernst & Young Interview Waiting Area
- David A. Rismiller Classroom
- Nationwide Insurance Honors Lounge
- Ernst & Young Lecture Room
- William M. Batten Computer Research Laboratory
- Fifth Third Bank Classroom

Project: Food Science and Technology Building

- Brewster Dairy Food Fermentations Laboratory
- Jessie and Wilbur A. Gould Seminar Room
- Smith Dairy Nutrition and Toxicology Research Colony
- Ohio Poultry Association Faculty, Staff and Alumni Lounge
- Ohio Poultry Association Department Chairperson's Office
- Worthington Foods Microbiological Media Prep Room
- Sandridge Food Corporation Low Temperature Phenomenon Laboratory

Project: Galbreath Equine Trauma Hospital

- Dr. Al Gabel Surgery Suite
- Marilyn and John Berry Reception Area
- Columbus Serum Corporation Conference Room

Project: Schottenstein Center

- Value City Arena
- Health Power HMO Hospitality Room
- Robert F. Baker Ticket Office

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 96-115

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

April 3, 1996 meeting, Board of Trustees

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on March 2, 1996:

Amended Rules

3335-11-07 Purpose.

The Ohio union and the Drake union UNIONS are established as the community UNIVERSITY centers of the OHIO STATE UNIVERSITY UNIVERSITY'S COLUMBUS CAMPUS. THEY EXIST for the primary purpose of serving students in their cultural, social, recreational, and organized LEADERSHIP activities. The Ohio union and the Drake union UNIONS are also established to serve the faculty, staff, and alumni, AND GUESTS of the university as well as their guests. (B/T 9/7/59, B/T 7/11/75, B/T 4/2/96)

3335-11-08 Director.

The director, Ohio unions, shall be the chief administrator of the Ohio union and the Drake union UNIONS. He THE DIRECTOR shall have the general administrative responsibility for the programs and financial affairs of these unions. The director shall be responsible to the ASSISTANT vice president for student affairs (HOUSING, FOOD SERVICE, AND EVENT CENTERS). (B/T 9/7/59, B/T 12/3/71, B/T 7/11/75, B/T 7/12/91, B/T 4/2/96)

3335-11-09 The Ohio unions council.

(A) The Ohio unions council shall consist of thirty-three THIRTY-FOUR members chosen as follows:

(1) Eighteen student members chosen in accordance with rules established by the Ohio unions council STUDENTS.

(a) ONE GRADUATE STUDENT SELECTED BY THE COUNCIL OF GRADUATE STUDENTS. TERM OF SERVICE SHALL BE ONE YEAR.

(b) ONE PROFESSIONAL STUDENT SELECTED BY THE INTER-PROFESSIONAL COUNCIL. TERM OF SERVICE SHALL BE ONE YEAR.

(c) ONE UNDERGRADUATE STUDENT SELECTED BY THE UNDERGRADUATE STUDENT GOVERNMENT. TERM OF SERVICE SHALL BE ONE YEAR.

(d) THE PRESIDENT OF THE STUDENT EVENTS COMMITTEE SHALL HAVE A PERMANENT SEAT ON THE COUNCIL.

(e) ONE STUDENT MEMBER SELECTED BY THE OHIO UNIONS PROGRAM OFFICE.

(f) THIRTEEN STUDENT MEMBERS SHALL BE SELECTED FROM THE STUDENT BODY UNDER THE PROCEDURES ESTABLISHED AND DOCUMENTED BY THE COUNCIL.

(2) One student appointed by the president of the undergraduate student government with the advice and consent of the student assembly; one student appointed by the president of the council of graduate students with the advice and consent of that council; one

April 3, 1996 meeting, Board of Trustees

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

student appointed by the president of the inter-professional council with the advice and consent of that council REGULAR FACULTY.

FOUR REGULAR MEMBERS SELECTED BY THE FACULTY COUNCIL. TERM OF SERVICE SHALL BE TWO YEARS.

(3) The vice president for student affairs and five staff members chosen as follows: the director, Ohio unions; the program director, Ohio unions; the recreation director, Ohio unions; one residence halls staff representative; one student organization staff representative. The staff members of the Ohio unions council shall be appointed for a term of two years by the vice president for student affairs STAFF MEMBERS.

(a) THE DIRECTOR SHALL HAVE A PERMANENT SEAT ON THE COUNCIL.

(b) FOUR UNIONS' STAFF MEMBERS SHALL BE APPOINTED BY THE DIRECTOR. TERM OF SERVICE SHALL BE TWO YEARS.

(c) THREE STAFF MEMBERS FROM ACROSS THE UNIVERSITY SHALL BE APPOINTED BY THE VICE PRESIDENT FOR STUDENT AFFAIRS UNDER PROCEDURES ESTABLISHED AND DOCUMENTED BY THE COUNCIL.

(4) Four faculty members. The faculty members shall be appointed for a term of two years by the vice president for student affairs after consultation with the senior vice president for academic affairs and provost ALUMNI MEMBERS.

(5) Two alumni members designated SHALL BE APPOINTED by the Ohio state university ALUMNI association for a term of IN CONSULTATION WITH THE COUNCIL. TERM OF SERVICE SHALL BE three years under such procedures as the association shall adopt.

The faculty and alumni members shall not serve more than two consecutive terms on the council.

(5) COMMUNITY MEMBERS.

TWO COMMUNITY REPRESENTATIVES SHALL BE SELECTED UNDER PROCEDURES ESTABLISHED AND DOCUMENTED BY THE COUNCIL.

(B) DUTIES AND RESPONSIBILITIES.

Subject to the general authority of the president and the board of trustees, the Ohio unions council shall:

(1) Establish the program policies of the Ohio unions and shall assist in the development of all of their student activities programs. It may advise the director on other matters pertaining to the operation GENERAL POLICY ON SUCH MATTERS AS, BUT NOT LIMITED TO, FINANCIAL PLANNING, FACILITY USE, STUDENT DEVELOPMENT, PUBLIC AND CAMPUS RELATIONS.

April 3, 1996 meeting, Board of Trustees

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

(2) ~~THESE POLICIES SHALL GUIDE THE ADMINISTRATION OF THE PROGRAM OF THE UNIONS BY THE DIRECTOR OF the Ohio unions, but the council shall not serve in an administrative or executive capacity.~~

(C) ORGANIZATION

~~The president of the Ohio-Drake-unions-activities-board shall serve as chairperson THE CHAIR, of the Ohio-unions-council; AND the director, Ohio unions, shall serve as the executive secretary of FOR the Ohio-unions council. THE STRUCTURE AND OPERATION OF THE OHIO UNIONS COUNCIL WILL BE ESTABLISHED AND DOCUMENTED BY THE COUNCIL. (B/T 9/7/59, B/T 2/9/67, B/T 11/9/67, B/T 12/3/71, B/T 7/11/75, B/T 7/20/79, B/T 2/7/86, B/T 11/2/90, B/T 7/12/91, B/T 4/2/96)~~

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

HONORARY DEGREES

Resolution No. 96-116

Synopsis: The awarding of the following honorary degrees is proposed.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of the honorary degrees as listed below:

Sir James W. Black	Doctor of Science
Dr. Robert F. Furchgott	Doctor of Science
Dr. Daniel F. Koshland, Jr.	Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

PERSONNEL ACTIONS

Resolution No. 96-117

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the February 29, 1996 meeting of the Board, including the following Reappointment of Chairpersons/Director, Reappointment of Principal Administrative Officials, Leaves of Absence Without Salary, Professional Improvement Leaves, Professional Improvement Leave--Change in Dates and Emeritus Title, as detailed in the University Budget be approved.

April 3, 1996 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Reappointment of Chairperson/Director

January 1, 1995 through September 30, 1997

Department of Germanic Languages
and Literatures

Mark W. Roche

July 1, 1996 through September 30, 1996

Department of Medical Biochemistry

Howard W. Sprecher*

October 1, 1995 through June 30, 1996

School of Health, Physical Education,
and Recreation

Mary M. O'Sullivan*

*Acting

Reappointment of Principal Administrative Officials

CAROLE A. ANDERSON, Dean, College of Nursing, effective July 1, 1996, through June 30, 2001, pursuant to rule 3335-3-17 of the Administrative Code.

HENRY W. FIELDS, Dean, College of Dentistry, effective July 1, 1996, through June 30, 2001, pursuant to rule 3335-3-17 of the Administrative Code.

Leaves of Absence Without Salary

STEPHEN W. BIRDWELL, Associate Professor, College of Pharmacy, effective March 1, 1996 through February 28, 1997, for medical reasons.

MARY A. ROZNOWSKI, Associate Professor, Department of Psychology, effective March 3, 1996, through April 30, 1996, for medical reasons.

Professional Improvement Leaves

ARTHUR W. LEISSA, Professor, Department of Aerospace Engineering, Applied Mechanics and Aviation, effective Winter Quarter 1997.

EDWARD H. NEWMAN, Professor, Department of Electrical Engineering, effective Winter Quarter and Spring Quarter 1997.

WANSOO T. RHEE, Professor, Department of Management Sciences, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

RICHARD L. ROTH, Professor, Department of Art, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

PETER J. TURCHI, Professor, Aerospace Engineering, Applied Mechanics and Aviation, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

JEFFREY J. CHALMERS, Associate Professor, Department of Chemical Engineering, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

BARBARA J. HAEGER, Associate Professor, Department of History of Art, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

ANTONIO G. MENDOZA, Associate Professor, Department of Art, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

ANNA O. SOTER, Associate Professor, Department of Educational Studies: Humanities, Science, Technological and Vocational, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

MELANYE P. WHITE-DIXON, Associate Professor, Department of Dance, effective Winter Quarter and Spring Quarter 1997.

DAVID D. WOODS, Associate Professor, Department of Industrial, Welding, and Systems Engineering, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

Professional Improvement Leave--Change in Dates

KATHY M. FAGAN, Associate Professor, Department of English, change dates from Winter Quarter and Spring Quarter 1997, to Autumn Quarter 1996 and Winter Quarter 1997.

Emeritus Titles

ERNEST M. NEWKIRK, Department of Obstetrics and Gynecology, with the title Clinical Associate Professor Emeritus, effective March 1, 1996.

RESOLUTIONS IN MEMORIAM

Resolution No. 96-118

Synopsis: Approval of four Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

Glenn A. Fry

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 5, 1996, of Glenn A. Fry, Professor Emeritus in the College of Optometry.

Professor Fry received his A.B. degree from Davidson College in 1929, and then went on to receive a Master's and Ph.D. degrees from Duke University. Following two years at Washington University in St. Louis as a National Research Fellow, he came in 1935 to direct the optometry program at Ohio State where he remained for the next sixty years.

Wasting no time, he quickly established the optometry program as a School within the College of Arts and Sciences and began the first Physiological Optics graduate program in the nation associated with a School of Optometry. Dr. Fry administered the optometry program until 1966, when he was made a Regents Professor. In recognition of many years with the University and his legendary contributions to his profession, the University named the Optometry Building in his honor in 1983.

RESOLUTIONS IN MEMORIAM (contd)

Glenn A. Fry (contd)

Long before multi-disciplinary associations became fashionable, Dr. Fry was practicing the concept. Together with Dr. Arthur Culler of the Department of Ophthalmology, he established the Institute for Research in Vision on The Ohio State University campus in 1942. He maintained contacts in several disciplines by being active in the Illuminating Engineering Society, the International Commission on Illumination, Night Visibility Committee of the NRC Highway Research Board, Inter-Society Color Council, and the National Eye Institute. His research encompassed everything from highway safety to military applications of vision science (color vision, high intensity flashes, aerial reconnaissance, range finders).

Professor Fry's most notable contribution was his ability to educate and inspire others in the fields of optometry and vision science. The list of his graduate students serves as a Who's Who in optometric education and research. The curriculum he developed at Ohio State for both the professional program in optometry and the graduate program in physiological optics became the model for most other programs in the country.

Hailed as one of the most important figures in visual science, Glenn Fry received virtually every award his profession could give him including: the Tillyer Medal from the Optical Society of America; the Distinguished Service Award from the American Optometric Association; the Gold Medal from the Illuminating Engineering Society; and the Prentice Award from the American Academy of Optometry.

On behalf of the University, the Board of Trustees expresses to the family of Professor Fry its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Webster B. Kay

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 19, 1996, of Webster B. Kay, Professor Emeritus in the Department of Chemical Engineering.

Dr. Kay was born on December 8, 1900, in Hammond, Indiana, and attended country schools, graduated from Lima High School in 1918, and received his B.S. degree in Chemical Engineering from The Ohio State University in 1922. In 1926 he received his Ph.D. in Chemistry from the University of Chicago.

Webster Kay joined the Chemical Engineering faculty at Ohio State University in 1946, after twenty years as a Research Scientist at Standard Oil of Indiana. He was known world-wide as a leader in experimental research in thermodynamics. With his many graduate students he published his research results extensively in prestigious archival journals. His method of combining the critical constants of the pure components in a mixture, known as Kay's Rule, is found in thermodynamic textbooks for chemical engineering courses.

In addition to his research accomplishments, the courses Dr. Kay taught at Ohio State were highly regarded by students who appreciated his friendly manner and concern for their learning. In 1971 he was granted the title of Professor Emeritus, but continued to do research in thermodynamics and to advise graduate students working in this area.

He was a member of the American Institute of Chemical Engineers, Sigma XI Scientific Honorary Society, and a lifetime member of the American Chemical Society. In 1980, a special symposium in honor of Dr. Kay was held at the annual meeting of the American Institute of

April 3, 1996 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

Webster B. Kay (contd)

Chemical Engineers in Chicago to acknowledge his many outstanding contributions in thermodynamics research.

On behalf of the University, the Board of Trustees expresses to the family of Professor Kay its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Elzy V. McCollough

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 12, 1996, of Elzy V. McCollough, Professor Emeritus in the Department of Accounting and Management Information Systems.

Professor McCollough received his Bachelor of Arts degree (cum laude) from Tarkio College and his Masters and Ph.D. degrees from the University of Iowa. He served on the accounting faculties of the University of Iowa and Louisiana State University prior to coming to Ohio State in 1959. He had been a CPA since 1956.

Elzy McCollough was a specialist in electronic data processing and served as department chair from 1964 to 1971. He also served as director of the Data Processing Center in the College and on the Graduate Council for the University. Professor McCollough was recognized as an outstanding teacher by the Undergraduate Student Forum. Dr. McCollough was awarded the title Professor Emeritus in 1985.

Professor McCollough was a member of the American Accounting Association, the American Institute of Certified Public Accountants, the National Association of Accountants, the Ohio Society of CPAs, the Systems and Procedures Association, Beta Gamma Sigma, the Order of Artus, Beta Alpha Psi, and Pi Omega Pi.

On behalf of the University community, the Board of Trustees expresses to the family of Professor McCollough its deepest sympathy. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's realization of the loss that the family has sustained.

Pearl S. Mullen

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 4, 1996, of Pearl S. Mullen, Assistant Professor Emeritus in the Ohio State University Extension.

Professor Mullen was born August 1, 1911 in Arnheim, Ohio. She received a B.S. in home economics education from The Ohio State University in 1933. She began her Extension career on July 1, 1961, as the County Extension Agent, Home Economics in Adams County. On January 1, 1965, she became the County Extension Agent, Home Economics in Brown County. She held this position until her retirement on August 15, 1973.

Pearl Mullen's contributions included providing excellent Extension educational programs in home economics, and especially to the Expanded Food and Nutrition Educational Program for both adults and youth as well as the Extension Homemakers group in Brown County. She was awarded the Distinguished Service Award at the 1973 annual meeting of the National Association of Home Economists. Her teaching and home economics expertise earned her the respect and admiration of co-workers and associates throughout the state.

April 3, 1996 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

Pearl S. Mullen (contd)

On behalf of the University community, the Board of Trustees expresses its sympathy and understanding to her family. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees as an expression of the Board's heartfelt sympathy.

Upon motion of Mr. Celeste, seconded by Mr. Wexner, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Wexner, Shumate, Celeste, Colley, Skestos, Sofia, and Ambassador Wolf.

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REPORT ON RESEARCH FOUNDATION

Mr. Celeste:

The Board may recall the President cited the recognition that Dr. McDonald and Don Stredney recently received with their "Virtual Reality Demonstration." Mr. Stredney was here before the Board and provided us with a demonstration of their efforts. We are very proud of what they have been able to accomplish. I would like to call on Dr. Hayes to present the Research Foundation Report.

Dr. Edward Hayes:

Thank you, Mr. Celeste. A copy of the Report of the February 1996 Grants and Contracts of The Ohio State University Research Foundation was previously distributed to the Board. We are continuing to see a slow down in funds coming from the federal government due to the shutdown and to the fact that a number of the agencies still do not have a full-year appropriation bill.

We have been sharing data with other CIC institutions and the impact here at Ohio State is being felt at the other CIC institutions. There is some difference in detail because there are different distributions among the federal funding agencies, but we are right about in the middle of the pack in terms of the downturn.

Under Tab 2, you will notice in new awards we are down below last year at this time by about 10 percent, and some of the other universities are down as much as 30 percent. This slow down in the arrival of awards is also affecting the rate of expenditures and we do not, at this point in time, expect that the rate of expenditures will be restored to the kind of growth that we have seen over the last three or four years until the situation of the federal government is sorted out.

Under Tab 1, you will see that through February 29, 1996, we are 6.4 percent behind where we were last year in terms of expenditures.

In Tab 3, there are five projects that are highlighted. The College of Pharmacy has received \$700,000 from Pharmacia to support drug development design and delivery over the next five years, and \$300,000 from Pharmacia for graduate fellowship support. Also of interest may be the Center of Mapping grant from the U.S. Geological Survey for \$500,000 for a cooperative effort with four state agencies to digitize State of Ohio maps.

April 3, 1996 meeting, Board of Trustees

REPORT ON RESEARCH FOUNDATION (contd)

Dr. Hayes: (contd)

In Chemical Engineering, Professor Fan has received \$300,000 from the National Science Foundation for investigating the hydrodynamic behavior of gas-liquid-solid fluidization under high pressure and high temperature conditions. This work is being conducted with industrial collaborators including: Shell Development Company, Amoco Oil Company, and Exxon Research and Engineering Company.

NSF is also providing over \$175,000 next year to support an Asynchronous Transfer Mode Networking Testbed. This is often referred to as an ATM Networking Testbed. There are important research problems including parallel computing, distributed computing, and multimedia that will be possible as a result of the support of that testbed.

Under Tab 4, is a complete list of the new awards received in February.

It would now be appropriate for a motion to accept these awards. Thank you.

REPORT ON RESEARCH CONTRACTS AND GRANTS

Resolution No. 96-119

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for February 1996 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of February 1996 be approved.

Upon motion of Mr. Celeste, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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STUDENT AFFAIRS COMMITTEE REPORT

Mr. Smith:

Yesterday, the Student Affairs Committee met and discussed this year's budget process with Vice President Bill Shkurti. Vice President Shkurti presented an overview of last year's process of consultation with students which culminated in the allocation of the one percent set-aside for student services in this fiscal year.

April 3, 1996 meeting, Board of Trustees

STUDENT AFFAIRS COMMITTEE REPORT (contd)

Mr. Smith: (contd)

This year the process of consultation will be even more expansive than the previous year. We hope that there will be even more student input into the allocation of this year's planned one percent set-aside. Specifically, as Vice President Shkurti noted yesterday, we plan to consult student organizations, the Council on Student Affairs, the Senate Fiscal Committee -- which has three student members -- the Office of Student Affairs, and the Office of Academic Affairs as part of the consultation process. The Committee wants to express, as it did yesterday, its strong support to Vice President Shkurti for a well-designed input process which appears well poised for a substantial amount of student consultation.

At the same time, the Committee also discussed the allocation of last year's set-aside and reviewed a number of conceptual ideas that are possible allocations for this year. Many of those ideas are congruent with past priorities established by this Board as important and requiring follow-up and follow-through. Implementing the recommendations of CUE, primarily in the area of Career Services and Academic Advising, was strongly supported both by the Office of Student Affairs and also by a student proposal submitted to the Office of Finance.

As you may recall, I reported to this Board in February about the Career Services proposal presented to our Committee. Our Committee is pleased to see and support the continuing work on that issue. Investing in technology, particularly in the area of computing, was also mentioned as a possible priority for next year following up on last year's allocation in that area. CUE and technology represent two of our established commitments and require the requisite support to achieve the maximum benefit for the students and the University.

The Undergraduate Student Government also advocated exploring the issue of funding possible credit hour reduction requirements through the set-aside. All of these ideas and others will be discussed more thoroughly by students and others in the consultation as outlined above. The Committee looks forward to following up on the progress made in the coming months.

As a student, I personally want to applaud the University's commitment to setting aside one percent of the revenue increase for Student Services and look forward to the delivery of that promise. It shows we are placing a premium on the out-of-classroom experience and the quality of student life here at Ohio State.

As all of you know, yesterday the University broke ground on what promises to be our crown jewel facility, The Schottenstein Center. This forum today gives me the opportunity to state for the benefit of the community that this facility will represent one of the most student-friendly, student-driven enterprises ever undertaken at Ohio State.

At athletic events, the new arena will provide students with more seating than currently exists at St. John Arena, but at the same great location close to the action. In addition, students will have many, many opportunities to participate in the management of the facility through programs being considered across campus. Students will also have the benefit of athletic scholarships funded through the Seal-License Program, while at that same time paying nothing out of their tuition dollars for the facility.

STUDENT AFFAIRS COMMITTEE REPORT (contd)

Mr. Smith: (contd)

And perhaps most importantly, the Schottenstein Center will attract entertainment for the University community like we have never had before at Ohio State. Let there be no mistake, yesterday's ground-breaking was a terrific and beneficial event for the entire University community, including, and especially, the students.

Finally, I had the unique opportunity to travel Ohio with our President visiting high schools, community leaders, and alumni recently. Our tour took us through some of the deepest parts of rural Ohio. We visited a high school in Perry County where only four students per graduating class go on to attend a four-year university.

You may be wondering, as I was, why would we visit there? As I pondered that thought, one of our Admission's officers on the trip let me know that while recruiting at an elite high school near Chicago recently, she conveyed to them that we would also be visiting the high school in Perry County, as an example of that Ohio State's diversity and its value to so many different people. That is, of course, the answer. Ohio State means so much because it offers so much opportunity to so many: rural and urban, elite and modest, native Buckeyes and adopted Buckeyes. What a statement about the potential of our University.

All during our tour we found time and again people who deeply appreciated the time Ohio State and our President was willing to spend with them, whether it was with community leaders learning about their economic development in agricultural programs in the schools, or spending time with alumni. As Gordon mentioned, we even visited a prison. And I'm not sure what programs we had there, but nonetheless, it was interesting.

When we began the trip, Dr. Gee asked me what I hoped to learn, and not being awake at the time I was non-plused to respond. I returned having learned not only what our President means to our University -- which is a lot, and as an example I will tell you that the E. Gordon Gee autographed poster is now the hottest commodity in southern Ohio -- I also learned what Ohio State means to Ohio's people.

The people we met confirmed that we are Ohio's flagship university and we must carry that message beyond our campus to every corner of our state and further. There is no university in this state which can match our good will if we continue to reach out and reach up, reconnecting with Ohio's people again and again. As a student and as a Trustee, I am excited about what we can do when people learn that we can and will make a difference in their lives.

I would now like to ask Sean Ruffin to deliver the Student Government report.
Sean --

Mr. Sean Ruffin:

Thank you and good morning. The Undergraduate Student Government is currently in its election season and elections will be held on April 9 and 10. Also, USG will be distributing their second published teacher evaluations and I am told that they will be coming very soon.

STUDENT AFFAIRS COMMITTEE REPORT (contd)

Mr. Ruffin: (contd)

The Council of Graduate Students would like to highlight the upcoming Graduate Research Forum scheduled for Saturday, April 20. CGS would also like to encourage the Board of Trustees and the University administration to devote more attention and resources to career counseling and placement services for all students which would show a strong institutional commitment to the long-term success of our students. The Interprofessional Council is currently winding up its elections with the final ballot counts being done today.

On behalf of all student governments, I am also pleased to announce that the Board of Trustees Selection Committee has made its final selections, and we are really impressed with the final candidates and feel that they are worthy of mention. They are: Mark Burkman, from the College of Dentistry; Darren Gebler, from the Department of Physics; Damien Green, from the College of Medicine; David Torborg and Rebecca Woods, both from the College of Law. I would also like to thank Kelly Hay, from the Council of Graduate Students, who served as co-coordinator of the Committee for all of her hard work and diligence.

That concludes my report.

Mr. Smith:

Thank you very much, Sean, and we need to give you a special thanks for overseeing the process. You did an outstanding job. Dr. Wolf, and fellow Indians' fans, that concludes my report.

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INVESTMENTS COMMITTEE REPORT

Mr. Colley:

The Investments Committee met earlier this morning and received a report from the Treasurer, Mr. Nichols, on the Endowment Portfolio. At this time, I would like to ask Mr. Nichols to give his report.

Mr. James Nichols:

Thank you, Mr. Chairman. This covers the period of February 16 through March 15, 1996. The market value of the Endowment on March 15 was \$623.1 million, approximately \$3.9 million below the number I reported to you last month. The market value of the equity portion increased \$500,000, while the fixed income portion decreased \$4.7 million in light of rising interest rates during the reporting period. Net new additions to the Endowment for March totaled \$1.2 million.

Since July 1, the Endowment fund has increased \$67.4 million, including net new additions of nearly \$22 million. Current asset allocation is 67 percent in equities; 22 percent in fixed income; 7 percent in real estate; and 4 percent in cash.

The Investments Committee also heard a report on the net benefit achieved from the Endowment Asset Reallocations which occurred in December. For the first three months, the net benefit is \$780,000.

April 3, 1996 meeting, Board of Trustees

INVESTMENTS COMMITTEE REPORT (contd)

Mr. Nichols: (contd)

That concludes my report, Mr. Chairman.

Mr. Colley:

Mr. Chairman, you may recall that last November we reported that the Investments Committee met for nine hours -- two separate meetings -- and reviewed portfolio managers. Based upon the recommendations of the Treasurer, the gain he mentioned was a result of the changes made at that time for which the Investments Committee wishes to commend the Office of the Treasurer.

At this time, Mr. Jerry May, Vice President for Development, will present his monthly report.

Mr. Jerry May:

Thank you very much, Mr. Colley. The Development Report is in your Board book.

As you can see by the summary, after the first eight months of this year we are \$13 million ahead in private support and about 28 percent ahead for the fiscal year-to-date. Much of this is related to the University's energy and investment all across the University, and its fund raising campaign.

Individual giving is the area that we are most pleased to talk about for the moment because it is up by more than \$10 million, almost a fifty percent increase. We continue this trend, and we should continue to recognize and thank the alumni and other individual supporters who have stood up on behalf of Ohio State.

Support for Student Financial Aid continues to be up significantly this year. Annual giving continues to be up -- in fact, the annual giving unrestricted to the colleges and departments is up 23 percent. That means that deans have more money to work with. In addition to that, the unrestricted giving to the University is up 36 percent. Mr. Nichols already mentioned that we are up considerably. In fact, 33 percent so far this year has been added to the Endowment over the previous period last year.

Before I ask Mr. Colley to present to the Board the resolution today, I would like to highlight two of the endowments that are listed. The first one I would like to highlight is The Roxanne (Roxey) C. Sedgwick Critical Difference for Women Re-Entry Scholarship Fund.

The reason I want to mention this is that Roxanne Sedgwick was from LaJolla, California, and passed away a couple of years ago. She had a B.S. in Education in 1937, and an M.A. in education in 1942. She spent her career as a teacher encouraging young women to pursue their educational interests and advanced educational interests. Today with support from her estate, we are able to set up a Critical Difference For Women Scholarship for women who have interrupted their education to meet other responsibilities and now are in need of financial support as they re-enter the educational enterprise.

April 3, 1996 meeting, Board of Trustees

INVESTMENTS COMMITTEE REPORT (contd)

Mr. May: (contd)

The second one is the Vision Service Plan, which is listed under the gifts to the Foundation. This is a fully endowed chair by the Vision Service Plan, a corporation made up of many optometrists who are alums of The Ohio State University. They have developed a VSP Chair for the Advancement of Professional Practice. This particular chair will promote the highest ethical standards and professionalism in the independent practice of Optometry.

We are very excited to have this fully endowed chair. I would be very remiss if I did not mention and acknowledge Richard Hill -- although he is not here today -- who was the Dean of Optometry, until last year. Dick Hill was key in building this relationship and really made this happen. If all goes well, we will also have a second endowed chair to announce in the next year from this organization.

Mr. Colley, at this time, I'd like to ask you to present to the Board of Trustees for approval: two new fully endowed chairs, eight new named endowed funds -- including, two new endowed chair funds -- and the revision of four endowed funds. The total amount of the gift received related to these ten funds is \$4,340,321.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 96-120

Synopsis: The report on the receipt of gifts and the summary February 1996 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS the funding levels have been reached to establish The William Greenville Pace III Endowed Chair in Cancer Research, and The Vision Service Plan Chair for the Advancement of Professional Practice; and

WHEREAS this report includes the establishment of eight (8) new named endowed funds and amendments to four (4) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of February 1996 be approved.

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT
July-February
1994-95 Compared to 1995-96
GIFT RECEIPTS BY DONOR TYPE

	Dollars July through February		
	<u>1994-95</u>	<u>1995-96</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$12,174,653	\$16,305,011	34%
Alumni (From Bequests)	1,278,244	5,107,558	300%
Alumni Total	\$13,452,897	\$21,412,569	59%
Non-Alumni (Current Giving)	\$5,746,006	\$8,581,394	49%
Non-Alumni (From Bequests)	1,440,576	751,376	-48%
Non-Alumni Total	\$7,186,582	\$9,332,770	30%
Individual Total	\$20,639,479	\$30,745,339	49% ^A
Corporations/Corp. Foundations	\$17,576,323	\$18,449,033	5% ^B
Private Foundations	\$4,928,646	\$7,143,658	45% ^C
Associations & Other Organizations	\$2,070,077	\$1,549,977	-25% ^D
Total	\$45,214,525	\$57,888,007	28%

NOTES

- A Overall individual giving this year is running 49% ahead of last year for the July-February reporting period. Alumni have given nearly \$8 million more during the July-February period this year than last, highlighted by five gifts totaling \$6.6 million. Non-alumni giving is also running about \$2 million ahead of the same period last year. The largest gift from a non-alumnus is \$1.3 million.
- B Corporate giving is up slightly (5%). Last year there were 358 corporations providing private support of \$10,000 or more (\$13.8 million). This year private support at the \$10,000 level is \$14.6 million (354 corporations).
- C Gifts of \$10,000 or more from private foundations total about \$2.2 million more than during last year's comparable reporting period.
- D Gifts of \$10,000 or more from associations and other organizations are down about \$500,000 this year for the July-February period.

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)
July-February (contd)
1994-95 Compared to 1995-96 (contd)
GIFT RECEIPTS BY PURPOSE

	Dollars July through February		
	<u>1994-95</u>	<u>1995-96</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$12,447,338	\$11,425,550	(8)
Program Support	\$20,980,713	\$30,905,397	47
Student Financial Aid	\$5,710,030	\$7,978,716	40
Annual Funds-Colleges/Departments	\$5,345,158	\$6,581,594	23
Annual Funds-University	<u>\$731,286</u>	<u>\$996,750</u>	36
Total	\$45,214,525	\$57,888,007	28

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through February		
	<u>1994-95</u>	<u>1995-96</u>	<u>% Change</u>
	\$15,851,962	\$20,937,761	32

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
Establishment of Named Endowed Chair			
The William Greenville Pace III Endowed Chair in Cancer Research (Support of Chair in Cancer Research - College of Medicine)	\$1,500,000.00		\$1,500,000.00

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	Previous Gifts	Current Gifts	Total Gifts
<u>Establishment of Named Endowed Funds</u>			
The William Greenville Pace III and Joann Norris Collins-Pace Chair Fund for Cancer Research (Support of Chair in Cancer Research - College of Medicine)	\$400,000.00		\$400,000.00
The Endowed Chair Fund for Director of of The Ohio State University Marching Band (Support of Chair for Ohio State University Marching Band)		\$167,166.67	\$167,166.67
The Dean Arthur Martin Scholarship Fund (Scholarships - College of Law)		\$52,146.26	\$52,146.26
The Roxanne (Roxey) C. Sedgwick Critical Difference for Women Re-Entry Scholarship Fund (Scholarships - Critical Difference for Women)		\$41,808.38	\$41,808.38
College of Dentistry Endowed Faculty Research Enrichment Fund (Research Projects - College of Dentistry)		\$30,407.93	\$30,407.93
The Charles M. Lynn Memorial Award (Scholarships - College of Engineering)	\$16,150.00		\$16,150.00
The Elizabeth Dancey Scholarship Fund (Scholarships - School of Music)	\$15,976.11		\$15,976.11

Change in Name and Description of Named Funds

From: The W. Arthur Cullman Business Policy Support Fund
To: The W. Arthur Cullman Executive Involvement Fund

From: The Robert A. and Dorothy M. Hodson Family 4-H Endowment Fund
To: The Robert A. and Dorothy M. Hodson Family 4-H Scholarship Endowment Fund

From: The Presutti Dance Fund
To: The Presutti-Madison Memorial Dance Fund

CHANGE IN DESCRIPTION OF NAMED FUND

Wayne E. and Mildred K. Smith Endowment Fund

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	Previous Gifts	Current Gifts	Total Gifts
<u>Establishment of Named Endowed Chair</u>			
The Vision Service Plan Chair for the Advancement of Professional Practice (Support of Chair - College of Optometry)	\$1,250,000.00		\$1,250,000.00
<u>Establishment of Named Endowed Funds</u>			
The Ciba Vision-Richard M. Hill Contact Lens Endowed Scholarship Fund (Scholarships - College of Optometry)		\$66,666.00	\$66,666.00
Total	\$3,182,126.11	\$358,195.24	\$3,540,321.35

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

ESTABLISHMENT OF NAMED ENDOWED CHAIR

The William Greenville Pace III Endowed Chair in Cancer Research

WHEREAS in accordance with the guidelines previously established by the Board of Trustees, The William Greenville Pace III Fund was established December 5, 1969 with gifts from an anonymous donor in honor of William G. Pace III, M.D. (M.M.Sc. '59), Professor Emeritus, of Naples, Florida; and

WHEREAS the required funding level has now been reached:

NOW THEREFORE

BE IT RESOLVED, That The William Greenville Pace III Fund be changed to The William Greenville Pace III Endowed Chair in Cancer Research. The annual income shall provide for a chair position to exclusively support the advancement of medical knowledge in the field of cancer research, both basic and clinical, with emphasis on hematology oncology, in the College of Medicine. The position shall be held by a nationally eminent faculty member as recommended by the Dean of the College of Medicine in consultation with the Vice President for Health Sciences to the Provost and approved by the Board of Trustees. The activities of the endowed chair holder shall be reviewed no less than every five years by the Dean to determine compliance with the intent of the donor as well as the academic and research standards of the University

\$1,500,000.00

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The William Greenville Pace III and Joann Norris Collins-Pace
Chair Fund for Cancer Research

The William Greenville Pace III and Joann Norris Collins-Pace Chair Fund for Cancer Research was established April 3, 1996, by the Board of Trustees with gifts from William G. Pace III, M.D. (M.M.Sc. '59), Professor Emeritus, and his wife, Joann Norris Collins-Pace, of Naples, Florida.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall provide for a Chair position to exclusively support the advancement of medical knowledge in the field of cancer research, both basic and clinical, with an emphasis on surgery, in the College of Medicine. The position shall be held by a nationally eminent faculty member as recommended by the Dean of the College of Medicine in consultation with the Vice President for Health Sciences. The activities of the endowed chair holder shall be reviewed no less than every five years by the Dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Medicine and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$400,000.00

The Endowed Chair Fund for Director of The Ohio State University Marching Band

The Endowed Chair Fund for Director of The Ohio State University Marching Band was established April 3, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the estate of the late Dayton Eldridge (B.S.Bus.Adm. '36, OSU Marching Band member 1932-35) and friends of the marching band.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Income from the fund shall be distributed to the School of Music and shall provide for support of The Ohio State University Marching Band until such time as the endowed chair is filled. Appointment of the Chair for Director of The Ohio State University Marching Band will be made by the Director of the School of Music with the approval of the Dean of the College of the Arts. When the appointment is made, income from the fund will be distributed to the School of Music for support of the director's position.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of the Arts or program administrative officer in order to carry out the desire of the donors.

\$167,166.67

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Dean Arthur Martin Scholarship Fund

The Dean Arthur Martin Scholarship Fund was established April 3, 1996, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from the estate of Alice Elizabeth Martin (B.A. English '28), Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for needy students in the College of Law. Recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

\$52,146.26

The Roxanne (Roxey) C. Sedgwick Critical Difference
for Women Re-Entry Scholarship Fund

The Roxanne (Roxey) C. Sedgwick Critical Difference for Women Re-Entry Scholarship Fund was established April 3, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the Estate of Roxanne (Roxey) C. Sedgwick (B.S.Ed. '37; M.A. Education '42).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for women at the undergraduate or graduate level who have interrupted their education to meet other responsibilities and are in need of financial support to re-enter the educational process. Recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate program administrative officer in order to carry out the desire of the donor.

\$41,808.38

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

College of Dentistry Endowed Faculty Research Enrichment Fund

The College of Dentistry Endowed Faculty Research Enrichment Fund was established April 3, 1996, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from the estate of Dr. Paul J. Slazen (D.D.S. '71; M.S. 1975).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support both basic and clinical research projects conducted by faculty in the College of Dentistry and/or the Division of Dental Hygiene. Awards will be made annually to faculty whose research is judged to be outstanding as determined by those with oversight for these programs and designated by the Dean of the College of Dentistry. The award may be used for equipment, supplies, travel, publications or other research related needs.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Dentistry in order to carry out the desire of the donor.

\$30,407.93

The Charles M. Lynn Memorial Award

The Charles M. Lynn Memorial Award was established April 3, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Anne E. Lynn, wife; Marion MacBeth and Marjorie Lynn (B.S.Ed. '48), sisters; Elizabeth A. Teli and Mary Beth Lynn (M.S. Human Ecology '85), daughters; and friends of Charles M. Lynn (B.I.E. '44).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall provide one or more Charles M. Lynn Awards to outstanding Industrial and Systems Engineering majors who have completed their junior year. Preference will be given to candidates who, like Mr. Lynn, are members of the Tau Beta Pi engineering honorary fraternity. Descendants of Mr. Lynn who meet these selection criteria are encouraged to compete for Lynn Awards. Selection will be made by the chairperson of the Department of Industrial, Welding and Systems Engineering with assistance from the College of Engineering Scholarship Committee and in consultation with the University Committee on Student Financial Aid. The chairperson will inform Mrs. Anne E. Lynn or her designated family representative on the use of each year's income.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean.

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Charles M. Lynn Memorial Award (contd)

department chairperson or program administrative officer in order to carry out the desire of the donors.

\$16,150.00
(Grandfathered)

The Elizabeth Dancey Scholarship Fund

The Elizabeth Dancey Scholarship Fund was established April 3, 1996, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from family and friends in memory of Elizabeth Dancey.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be awarded to an incoming student in the School of Music who has demonstrated promising vocal ability and academic achievement and who has chosen a major in voice. Following an audition, scholarship recipients shall be selected by the Director of the School of Music in consultation with the voice faculty and the University Committee on Student Financial Aid. The scholarship shall be awarded annually and is not renewable. If there are no candidates in any given year, the earnings are to be added to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income shall be used for purposes similar to those specified by the donors, as directed by the Board of Trustees of The Ohio State University in order to carry out the desire of the donors.

\$15,976.11
(Grandfathered)

CHANGE IN NAME AND DESCRIPTION OF NAMED ENDOWED FUNDS

The W. Arthur Cullman Executive Involvement Fund

The W. Arthur Cullman Business Policy Support Fund was established July 9, 1982, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the family and friends of W. Arthur Cullman. The name and description were revised April 3, 1996.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Max M. Fisher College of Business to involve executives in formal and informal interaction with students and faculty. Activities can include but

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN NAME AND DESCRIPTION OF NAMED ENDOWED FUNDS (contd)

The W. Arthur Cullman Executive Involvement Fund (contd)

not be limited to the Cullman MBA Luncheon Series, the recruitment of adjunct faculty, and the development of guest executive lecturers in the classroom.

It is the desire of the donor(s) that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor(s).

The Robert A. and Dorothy M. Hodson Family 4-H Scholarship Endowment Fund

The Robert A. and Dorothy M. Hodson Family 4-H Endowment Fund was established April 3, 1992, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Robert A. Hodson (B.S. Agr. '51) and Dorothy M. Hodson of Hillsboro, Ohio. The name and description were revised April 3, 1996.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships to one or more undergraduate students at The Ohio State University enrolled in the College of Food, Agricultural and Environmental Sciences, from Highland County High Schools who successfully completed at least one year of 4-H membership. Preference will be given to those with outstanding 4-H achievements who continue to lead and serve in the University or their local community. Recipients may be entering first year students as well as continuing students. Students must maintain a minimum GPA of 2.25. Recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

The Presutti-Madison Memorial Dance Fund

The Presutti Dance Fund was established April 4, 1986, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Dr. John R. (B.S. Biological Sciences '56; M.D. '60) and Mrs. Janet Presutti Madison (B.S.Ed. '58; M.A. Physical Education '65), in memory of Mrs. Lucy E. Presutti. The description was revised July 11, 1986, and the name and description were further revised April 3, 1996.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN NAME AND DESCRIPTION OF NAMED ENDOWED FUNDS (contd)

The Presutti-Madison Memorial Dance Fund (contd)

The annual income shall be used to provide support for archival research in dance. Disposition of the funds shall be determined by the chairperson of the Department of Dance in consultation with appropriate dance faculty and Janet Presutti Madison (or her representative), and with the approval of the Dean of the College of the Arts.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income shall be used for similar purposes as determined by the Board of Trustees, with preference being given to recommendations from Janet Presutti Madison in consultation with the appropriate administrative official of the University who is then directly responsible for the academic programs in dance in order to carry out the desire of the donors.

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND

The Wayne E. and Mildred K. Smith Endowment Fund

The Wayne E. and Mildred K. Smith Endowment Fund was established June 4, 1976, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Wayne E. Smith (B.S.Agr. '37) and Mildred K. Smith, Worthington, Ohio. The description was revised April 3, 1996.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for needy students and research in food systems as designated by the chairperson of the Department of Food Science and Technology in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the Vice President, Agricultural Administration in consultation with the chairperson of the Food Science and Technology department, or their successor, or program administrative officer in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED ENDOWED CHAIR

The Vision Service Plan (VSP) Chair for the Advancement of Professional Practice

The Vision Service Plan (VSP) Chair for the Advancement of Professional Practice was established April 3, 1996, by the Board of Trustees through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with gifts from the Vision Service Plan, Northeastern Region. The Vision Service Plan, from its inception, has championed independent professional practice at highest ethical levels. The commitment of VSP to this proposition is well demonstrated through an outstanding program which provided

April 3, 1996 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED CHAIR (contd)

The Vision Service Plan (VSP) Chair for the Advancement of Professional Practice (contd)

scholarships and another which funded an endowment that provides basic equipment assistance to every second-year student, both programs having the direct effect of reducing student debt on graduation.

Income provided by the Foundation shall be used to support the Vision Service Plan (VSP) Chair for the Advancement of Professional Practice. The purpose of this chair is to promote the highest ethical standards and professionalism in the independent practice of optometry. Any individual appointed to this distinguished chair must have a demonstrated commitment to these principles and must have had extensive, personal experience with that mode of practice. Duties associated with this appointment would be expected to include active and enthusiastic participation in the instructional, research and patient care dimensions exemplifying independent practice in this profession. Appointments to this chair may be made on a visiting or term basis, and may be full or part-time. Only one individual may hold this title at any time however. Any unused income shall be applied to the principal.

\$1,250,000.00
(Grandfathered)

ESTABLISHMENT OF NAMED ENDOWED FUND

The Ciba Vision-Richard M. Hill Contact Lens Endowed Scholarship Fund

The Ciba Vision-Richard M. Hill Contact Lens Endowed Scholarship Fund was established April 3, 1996, by the Board of Trustees through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with gifts from Ciba Vision Corporation in honor of Dr. Richard M. Hill, former Dean of the OSU College of Optometry.

This gift from Ciba Vision honors Dr. Hill for a lifetime of unflinching service to the profession of optometry and optometric education, and for his outstanding leadership.

As Dean of the College of Optometry at Ohio State, Dr. Hill made a valued difference in vision, health care in our country and abroad. Through his dedication to the fields of education and optometry, much has been accomplished in support of optometric education of the highest quality.

Income provided by the Foundation shall be distributed to be used to award scholarships to optometry students who have demonstrated (1) leadership ability, (2) a high degree of academic potential, and (3) scholastic achievement and enthusiasm for learning and/or financial need. The award recipients shall be selected by the Dean of the College of Optometry in consultation with the University Committee on Student Financial Aid. Should income from this fund not be awarded in any given year, the income shall be added to the next year scholarship pool or used to increase the fund's principal.

\$66,666.00

Upon motion of Mr. Colley, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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April 3, 1996 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, May 3, 1996, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

Robert M. Duncan
Secretary

Milton A. Wolf, Ph.D.
Chairman